

07-06-04

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/028,410
		Filing Date	December 19, 2001
		First Named Inventor	Yves DUBAQUIE et al.
		Group/Art Unit	1647
		Examiner Name	BUNNER, Bridget E.
Total Number of Pages in This Submission	7	Attorney Docket Number	39766-0131 R1-1D1

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input checked="" type="checkbox"/> Information Disclosure Statement with PTO-1449 (4 sheets) and 55 references	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): stamped return postcard
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Remarks

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SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual name	Leslie A. Mooi, Reg. No. 37,047, HELLER EHRMAN WHITE & McAULIFFE LLP		
Signature	<i>Leslie Mooi</i>		
Date	July 2, 2004	Customer Number:	25213

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PATENT

Attorney Docket No. 39766-0131 R1-1D1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)	Examiner: BUNNER, Bridget E.
Yves DUBAQUIE, et al.)	Art Unit: 1647
Application No.: 10/028,410)	Confirmation No. 4233
Filed: December 19, 2001)	Attorney's Docket No. 39766-0131 R1-1D1
Title IGF AND INSULIN VARIANTS)	Customer No. 25213

SUPPLEMENTARY

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

☒ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage
-- OR --
- ☐ (3) It is being filed before the mail date of the first Office Action on the merits
-- OR --
- ☒ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

☐ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:

- ☐ a certification as specified in §1.97(e) is provided below; or
- ☐ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:

- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and
- C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☐ *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of \$0.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Docket No. 39766-0131 R1-1D1).

Respectfully submitted,


HELLER EHRMAN WHITE & MCAULIFFE LLP

Dated: July 2, 2004


By: Leslie Mooi
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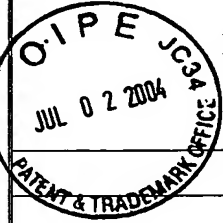
US Appl. No. 10/028,410
Attorney Docket No. 39766-0131 R1-1D1

INFORMATION DISCLOSURE STATEMENT PTO-1449 			ATTY. DOCKET NO.		SERIAL NO.		
			39766-0131 R1-1D1		10/028,410		
			APPLICANT Yves DUBAQUIE et al.				
			FILING DATE December 19, 2001		GROUP: 1647		
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
	5,473,049	12-1995	Obermeier et al				
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 00/20023	4-2000	PCT			<input type="checkbox"/>	<input type="checkbox"/>
	WO 00/23469	4-2000	PCT			<input type="checkbox"/>	<input type="checkbox"/>
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	EP 369943	5-1990	EP			<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
Barnett and Owens, "Insulin analogues" <u>Lancet</u> 349 (9044): 47-51 (Jan. 4, 1997)							
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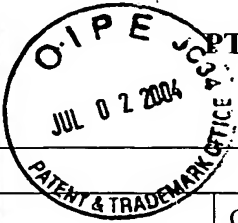
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INFORMATION DISCLOSURE STATEMENT  TO-1449	ATTY. DOCKET NO. 39766-0131 R1-1D1	SERIAL NO. 10/028,410
	APPLICANT Yves DUBAQUIE et al.	
	FILING DATE December 19, 2001	GROUP: 1647
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
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	APPLICANT Yves DUBAQUIE et al.	
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
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